

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Quest Capital Strategies,
Inc.

Claimant(s)

vs.

Christopher S. Kim
and Young Ja Kim

Respondent(s)

AWARD

88-03698

The Arbitrator, Randall C. Schakner, selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the Claimant on November 18, 1988;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the Claimant shall recover from the Respondent the amount of Eight Thousand Three Hundred Fifteen Dollars and Thirty-Two Cents (\$8,315.32);

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$200.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD for administrative costs.