

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Albert Cooperman

Claimant(s)

vs.

Kennedy, Cabot & Co., Inc.

Respondent(s)

AWARD


88-03723

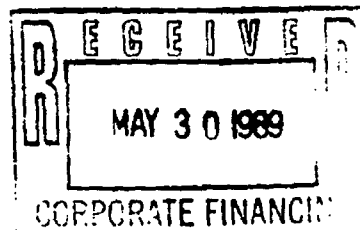
The undersigned, having been selected to review and determine a matter in controversy between the above-mentioned Claimant and Respondents, set forth in a submission to arbitration signed by the parties on June 27, 1987 and February 1, 1989, respectively;

And, that having reviewed and considered the proofs of the parties, has decided and determined that in full and final settlement of the above-captioned matter, that the claim against Respondent shall be dismissed in its entirety;

And, that each party shall bear its own cost and expenses including attorney's fees;

And, that the \$100.00 filing fees previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD for administrative costs.


Anne Cugliani



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vs.

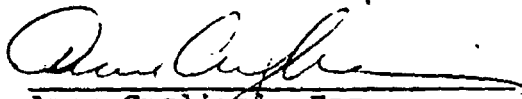
Kennedy, Cabot & Co.

Respondent(s)

OATH OF
ARBITRATOR
88-03723

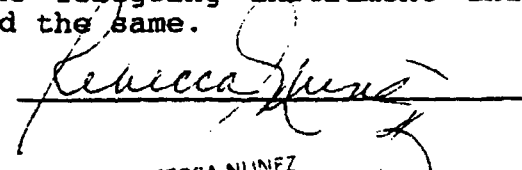
Having been selected as an arbitrator to consider the matter in controversy between the above-captioned parties, I solemnly swear and affirm that:

- I am not an employer of, employed by or related by blood or marriage to any of the parties or witness whose names have been disclosed to me, and
- I have no interest directly or indirectly in this proceeding, and
- I know of no existing or past financial, business, professional, family or social relationship which would impair me from performing my duties, and
- I shall consider and decide the controversy faithfully and fairly and render a just award to the best of my understanding.


Anne Cugliani, Esq.

STATE OF NEW YORK ss.:
COUNTY OF KINGS

On this 24th day of May, 1989, before me personally appeared Anne Cugliani, Esq. to me known and known before me to be the individual described in and who executed the foregoing instrument and he duly acknowledged to me that he executed the same.


REBECCA NUNEZ
Notary Public, State of New York
No. 24 4360317
Qualified in Kings County
My Commission Expires May 27, 1992