

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Will M. Larkin

Claimant(s)

vs.

Shearson Lehman Hutton

Respondent(s)

NOTICE OF ARBITRATION
AWARD
88-03821

CASE SUMMARY

Claimant(s) Will M. Larkin alleged that Respondent(s) Shearson Lehman Hutton made misrepresentation with respect to an investment. Respondent(s) maintains that they are not responsible for any losses incurred by the Claimant.

RELIEF REQUESTED

Claimant(s) Will M. Larkin requested damages of Nine Thousand Three Hundred Seventy Seven Dollars and No Cents (\$9,377.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Richard Meunier, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on December 9, 1988 and by Respondent(s) on February 8, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$200.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

August 18, 1989