

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

William O. Jenkins, Jr.

vs.

Howard B. Gamper

Claimant(s)

Respondent(s)

NOTICE OF ARBITRATION

AWARD

88-03825

CASE SUMMARY

Claimant(s) William O. Jenkins, Jr. alleged that Respondent(s) Howard B. Gamper made unsuitable investments. Respondent(s) maintain that the Claimant met the "net worth" requirements, was provided with a prospectus, and understood that there would be no public market for the units.

RELIEF REQUESTED

Claimant(s) William O. Jenkins, Jr. requested damages of Six Thousand One Hundred Sixty Three Dollars and No Cents (\$6,163.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Ronee C. McLaughlin, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on January 12, 1989 and by Respondent(s) on July 31, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant is hereby dismissed.

The parties shall each bear their respective costs including attorney's fees.

The \$200.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

October 25, 1989