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NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

William B. Storch, Jr.

Claimant(s)

vs.

Shearson Lehman Hutton and
Marty Sadkin

Respondent(s)

NOTICE OF ARBITRATION
AWARD
88-03831

CASE SUMMARY

Claimant William B. Storch, Jr. alleged that Respondents Shearson Lehman Hutton and Marty Sadkin provided false and misleading information with respect to Claimant Investment with Respondents. denies Claimant allegations.

RELIEF REQUESTED

Claimant William B. Storch, Jr. requested damages of Nine Hundred, Ninety Dollars and No Cents (\$990.00). Respondent requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Charles E. Jones, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant on December 9, 1988 Respondent not recorded. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim against Respondent shall be dismissed in its entirety.

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant shall be retained by the NASD as costs of this proceeding.

September 20, 1989