

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Robert S. Presto

Claimant(s)

vs.

Atlanta Securities & Investments,  
Inc. and Beverly N. Puckett

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
88-03879

CASE SUMMARY

Claimant Bonnie McDermott alleged that Respondents Atlanta Securities & Investments Inc. and Beverly N. Puckett provided erroneous information concerning Claimant's account.

RELIEF REQUESTED

Claimant Robert S. Presto requested damages of Two Thousand Four Hundred, Six Dollars and Five Cents (\$2,406.05). Respondents requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, George R. Freund, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant on November 8, 1988, and by Respondents Atlanta Securities & Investments, Inc. and Beverly N. Puckett on February 16, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

Respondent Atlanta Securities & Investments Inc., is separately liable and will pay to Claimant the sum of Seven Hundred, Fifty Dollars and No Cents (\$750.00). The claim of the interest in the amount of Two Hundred, Seventy Dollars and No Cents (\$270.00). The claim of the Claimant against Respondent Beverly N. Puckett shall be and hereby is dismissed in its entirety.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant is assessed against the Respondent Atlanta Securities & Investments, Inc. and shall be refunded to Claimant by Respondents Atlanta Securities & Investments, Inc.

September 21, 1989

/s/  
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George R. Freund