

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Albert R. & Rebecca L. Hatch,
JTWROS

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

Respondent(s)

NOTICE OF ARBITRATION
AWARD
89-00084

CASE SUMMARY

Claimants Albert R. & Rebecca L. Hatch, JTWROS alleged that Respondent Blinder, Robinson & Co., Inc. committed unauthorized transaction in Claimants account held with Respondent. Respondent denies Claimants allegations.

RELIEF REQUESTED

Claimants Albert R. & Rebecca L. Hatch, JTWROS requested damages of One Thousand, Seven Hundred, Fifty Dollars and No Cents (\$1,750.00). Respondent requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Perry E. Wallace, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimants on May 8, 1989 and by Respondent on July 17, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim against Respondent shall be dismissed in its entirety.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimants shall be retained by the NASD as costs of this proceeding.

September 20, 1989