

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

William M. McCormick

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

vs.

Mark Boyle

Respondent(s)

NOTICE OF ARBITRATION

AWARD

89-00088

CASE SUMMARY

Claimant(s) William M. McCormick alleged that Respondent(s) Blinder, Robinson & Co., Inc., and Mark Boyle made unauthorized transactions. Respondent(s) deny the claim of the Claimant and assert that Mark Boyle should be held responsible for any and all damages.

RELIEF REQUESTED

Claimant(s) William M. McCormick requested damages of One Thousand Eight Hundred Ninety Dollars and No Cents (\$1,890.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Francis J. Daley, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on January 3, 1989 and by Respondent(s) on March 22, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The Respondent Blinder, Robinson & Co., Inc. is liable and shall pay the sum of Four Hundred Dollars and No Cents (\$400.00) to the Claimant William H. McCormick, and

The Respondent Mark Boyle is liable and shall pay the sum of Three Hundred Dollars and No Cents (\$300.00) to the Claimant William H. McCormick.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent Mark Boyle.

July 28, 1989