

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

**George F. Sandison and
Marie A. Sandison**

Claimant(s)

vs.

**Stuart-James Co., Inc. and
Terry Brint McNeal**

Respondent(s)

**NOTICE OF ARBITRATION
AWARD
89-00098**

CASE SUMMARY

Claimant(s) George F. Sandison and Marie A. Sandison alleged that Respondent(s) Stuart-James Co., Inc. and Terry Brint McNeal made misrepresentations. Respondent(s) maintain that the Claimants' actions and extreme delay in objecting to the trade at issue is a clear indication that the claim has no merit.

RELIEF REQUESTED

Claimant(s) George F. Sandison and Marie A. Sandison requested damages of Seven Hundred Fifty Dollars and No Cents (\$750.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Janet Weiss, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on May 4, 1989 and by Respondent(s) on June 26, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondents are jointly and severally liable and shall pay the sum of Seven Hundred Fifty Dollars and No Cents (\$750.00) plus interest at 10% from May 8, 1987 to the date of this award to the Claimant.

The parties shall each bear their respective costs including attorney's fees.