

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Dayle C. Sayler and  
Margaret L. Sayler

Claimant(s)

vs.

Robert J. Miller

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
89-00197

CASE SUMMARY

Claimant(s) Dayle C. Sayler and Margaret L. Sayler alleged that Respondent(s) Robert J. Miller committed unauthorized trading in Claimants' account held with Respondent. Respondent(s) denies Claimants' allegations.

RELIEF REQUESTED

Claimant(s) Dayle C. Sayler and Margaret L. Sayler requested damages of Six Thousand Dollars and No Cents (\$6,000.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Arnold O. Flicker, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on January 19, 1989 and by Respondent(s) on May 25, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim against the Respondent shall be dismissed in its entirety.

The parties shall each bear their respective costs including attorney's fees.

September 25, 1989