

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

June C. and Edward S. Chlan

vs.

Stephen S. Duklewski, Jr.

Claimant(s)

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
89-00300

CASE SUMMARY

Claimant(s) June C. and Edward S. Chlan alleged that Respondent(s) Stephen S. Duklewski, Jr. owes them interest on their account balance. Respondent(s) maintain that interest was legitimately held per PaineWebber internal rules.

RELIEF REQUESTED

Claimant(s) June C. and Edward S. Chlan requested damages of Five Hundred Sixty Five Dollars and No Cents (\$565.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Robin L. David, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on January 20, 1989 and by Respondent(s) on April 19, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

August 10, 1989