

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Kathleen Muscatell

Claimant(s)

vs.

**Blinder Robinson and Co., Inc.
and Peter Newmes**

Respondent(s)

**NOTICE OF
ARBITRATION
AWARD
89-00385**

CASE SUMMARY

Claimant(s) Kathleen Muscatell alleged that Respondent(s) Blinder Robinson and Co., Inc. and Peter Newmes committed unauthorized trading in Claimant's account held with Respondent. Respondent(s) Blinder, Robinson & Co., Inc. denies Claimant's allegations. Respondent Peter Newmes has not answered claim.

RELIEF REQUESTED

Claimant(s) Kathleen Muscatell requested damages of Three Thousand Seven Hundred Ninety Six Dollars and No Cents (\$3,796.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Roneé C. McLaughlin, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on April 25, 1989 and by Respondent(s) on June 21, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondents are jointly and severally liable and will pay to the Claimant the sum of Three Thousand Seven Hundred Ninety Six Dollars and No Cents (\$3,796.00).

The parties shall each bear their respective costs including attorney's fees.

January 19, 1990