

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Gerald A. and Theresa Heise

Claimant(s)

vs.

Rob Scheinman and Ron Bajorik

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
89-00425

CASE SUMMARY

Claimant(s) Gerald A. and Theresa Heise alleged that Respondent(s) Rob Scheinman and Ron Bajorik misrepresented material facts as to Claimants' investment with Respondent. Respondent(s) deny Claimants' allegations.

RELIEF REQUESTED

Claimant(s) Gerald A. and Theresa Heise requested damages of Six Hundred Ten Dollars and No Cents (\$610.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Ronee C. McLaughlin, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on February 6, 1989 and by Respondent(s) on August 14, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondents are jointly and severally liable to the Claimant for the amount of One Hundred Ten Dollars and No Cents (\$110.00) plus 10% interest from December 19, 1988 to the date the award is paid.

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding and reimbursed by the Respondent Rob Scheinman to the Claimant.

October 25, 1989