

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Bruce P. Homoya

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.,  
Meyer Blinder and  
James Mahoney

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
89-00426

CASE SUMMARY

Claimant(s) Bruce P. Homoya alleged that Respondent(s) Blinder, Robinson & Co., Inc., Meyer Blinder and James Mahoney committed unauthorized trades in Claimant account held with Respondent. Respondent(s) deny Claimant's allegations and in addition Respondent Blinder, Robinson & Co., Inc. cross-claims James Mahoney for any damages or liabilities arising out of this proceeding.

RELIEF REQUESTED

Claimant(s) Bruce P. Homoya requested damages of One Thousand Three Hundred Seventy Four Dollars and No Cents (\$1,374.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Thomas B. Corey, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on February 2, 1989 and by Respondent(s) on May 8, 1989 and July 26, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The claim against the Respondents shall be dismissed in its entirety.

The cross-claim of Blinder, Robinson & Co., Inc. against James Mahoney shall be dismissed.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

October 23, 1989