

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Peter Bullard

vs.

Masa Ellis

Claimant(s)

Respondent(s)

NOTICE OF ARBITRATION
AWARD
89-00677

CASE SUMMARY

Claimant(s) Peter Bullard alleged that Respondent(s) Masa Ellis mishandled his account. Respondent(s) denies all allegations.

RELIEF REQUESTED

Claimant(s) Peter Bullard requested damages of Two Hundred Twenty Three Dollars and Ninety-Five Cents (\$223.95). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Janet Weiss, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on February 23, 1989 and by Respondent(s) on May 24, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

November 13, 1989