

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between  
Wall Street Clearing Co.

vs.

Anthony Lisena

Claimant(s)

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
89-00799

CASE SUMMARY

Claimant asserts amounts owing from margin call. Respondent did not defend.

RELIEF REQUESTED

Claimant(s) Wall Street Clearing Co. requested damages of Five Thousand Five Hundred Dollars and No Cents (\$5,500.00).

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Langdon T. Owen, Jr., Esq., was selected to review and determine the matter in controversy between the parties. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and will pay to the Claimant the sum of Five Thousand Five Hundred Dollars and No Cents (\$5,500.00) plus interest at the rate of 12.25% per annum from February 1, 1989 until paid in full.

The parties shall each bear their respective costs including attorney's fees.

The \$700.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be refunded to the Claimant by the Respondent and it shall bear interest at the same rate until paid in full.

July 28, 1989