

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

David Lashinsky

Claimant(s)

vs.

Mark Williams

Respondent(s)

NOTICE OF ARBITRATION
AWARD
89-00803

CASE SUMMARY

Claimant(s) David Lashinsky alleged that Respondent(s) Mark Williams made an error in Claimant's account and refused to pay money due. Respondent(s) denies any wrongdoing with respect to the Claimant's account and that Respondent should not be held liable for Claimant's failure to submit the proper documentation.

RELIEF REQUESTED

Claimant(s) David Lashinsky requested damages of Six Thousand Five Hundred Thirty Eight Dollars and No Cents (\$6,538.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, H. Victor Schwimmer, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on June 19, 1989 and by Respondent(s) on August 4, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent shall pay the sum of One Thousand Forty Six Dollars and Twenty-Five Cents (\$1,046.25) to the Claimant.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

October 25, 1989