

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Christine Evans

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

vs.

Bryan Philip Kuvick

Respondent(s)

NOTICE OF ARBITRATION

AWARD

89-00848

CASE SUMMARY

Claimant(s) Christine Evans alleged that Respondent(s) Blinder, Robinson & Co., Inc. failed to execute transactions in a timely manner. Respondent(s) maintain that the broker, Bryan Philip Kuvick, was an independent contractor for which Blinder, Robinson & Co., Inc. was not responsible.

RELIEF REQUESTED

Claimant(s) Christine Evans requested damages of Three Thousand Six Hundred Dollars and No Cents (\$3,600.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Joyce Levinson, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on February 23, 1989 and by Respondent(s) on June 29, 1989 and May 15, 1989, respectively. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimants is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

September 11, 1989