

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Bruce A. Bode

vs.

Graystone Nash, Inc.

Claimant(s)

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
89-00878

CASE SUMMARY

Claimant(s) Bruce A. Bode alleged that Respondent(s) Graystone Nash, Inc. committed fraud with respect to account Claimant held with Respondent. Respondent(s) denies Claimant's allegations.

RELIEF REQUESTED

Claimant(s) Bruce A. Bode requested damages of One Thousand Ten Dollars and No Cents (\$1,010.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Perry E. Wallace, Jr., Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on February 27, 1989 and by Respondent(s) on May 19, 1989. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim against the Respondent shall be dismissed in its entirety.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD, Inc. as costs of this proceeding.

September 27, 1989