

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of Arbitration Between

EUGENE K. VESELKA

Claimant

v.

MAIN STREET SECURITIES, INC.

MARK CHRISTIANSEN

Respondents

NASD No. 89-00971

CASE SUMMARY

Eugene K. Veselka ("Claimant") alleged that Main Street Securities, Inc. ("Respondent") failed to properly execute his order to sell shares of Inmedica. Respondent denied the claim.

RELIEF REQUESTED

Claimant requested actual damages of \$2,560.00, costs of \$2,162.50, and an order requiring the Respondent to issue corrected confirms and 1099's. Respondent requested that all the claims be dismissed.

AWARD

On March 28, 1989 the Claimant submitted his Statement of Claim to the NASD. On September 14, 1989, the undersigned arbitrator heard the controversy between the parties set forth in submissions to arbitrators signed by the Claimant on March 17, 1989 and by Walter Heyman on behalf of Main Street Securities on June 15, 1989. The hearing was held in one session in Houston, Texas.

The undersigned arbitrator, having considered the pleadings, the testimony, and the evidence presented at the hearing, has decided in full and final resolution of the issues submitted for determination as follows:

1. Main Street Securities, Inc. shall pay to Eugene K. Veselka actual damages of \$2,560.00, plus costs of \$87.20, for a total amount of \$2,647.20;

2. Pursuant to Section 43 of the NASD Code of Arbitration Procedure Main Street Securities, Inc. is assessed a \$100.00 forum fee and the NASD shall refund the Claimant the \$100.00 he previously deposited with the NASD. Forum fees are payable to the NASD through its staff counsel;

3. All of the Counter-claims of the Respondent are dismissed;

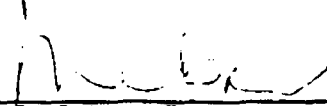
OTHER ISSUES

1. Claimant dismissed, with prejudice, his claim against Mark Christiansen;

2. Main Street Securities, Inc. failed to appear at the hearing after being properly notified. A Main Street Representative notified the NASD that it would not attend the hearing.

PRESIDING ARBITRATOR

Dated: 9/29/89

S/S   
Mary E. Baker, Esq.