

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Gerald E. Gauntt

Claimant(s)

vs.

Power Securities Corp.  
and Robert T. Gilbert

Respondent(s)

NOTICE OF ARBITRATION

AWARD

89-00984

CASE SUMMARY

Claimant(s) Gerald E. Gauntt alleged that Respondent(s) Power Securities Corp. and Robert T. Gilbert failed to execute a trade. Respondent(s) did not respond.

RELIEF REQUESTED

Claimant(s) Gerald E. Gauntt requested damages of Two Thousand Ten Dollars and No Cents (\$2,010.00).

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Nelson P. Lovins, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on March 17, 1989 and not by Respondent(s) on as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The Respondents are jointly and severally liable and shall pay the sum of Two Thousand Ten Dollars and No Cents (\$2,010.00) to the Claimant.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be jointly and severally refunded to the Claimant by the Respondents.

August 18, 1989