

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

2: DR. NEAL L. BAIGH V. JOSEPH KAUFMAN

#89-01

DATE FILED: 12/18/87 FIRST SCHEDULED 5/31/89 DECIDED 1/20/89

ARBITRATION SUMMARY: * Customer v. Member dispute. Customer alleges specialist
improperly executed his order.

Respondent has requested costs and attorney's fees

CLAIMANT'S INITIALS J. B. RESPONDENT'S J. L. K. THIRD PARTY'S INITIALS _____
SESSIONS: _____

CLAIM AND AWARD DATA:

CLAIM	<u>\$15,750.93</u>	CC/3rd PTY	<u>N/A</u>	AWARD	_____
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	_____
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	_____
DEPOSIT	<u>\$400.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	_____
COSTS	_____	COSTS	<u>N/A</u>	COSTS	_____

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that: *

THAT Claimant's claim is denied;

THAT Respondent's request for attorney's fees is denied;

THAT the costs of the arbitration, \$800, shall be shared equally between the parties. The claimant, Dr. Baigh, has already paid \$400 as his initial filing fee. The Respondent, Joseph Kaufman shall remit \$400 directly to the American Stock Exchange.

REMARKS: * _____

ARBITRATORS **

BERNARD HALLDORFER, ESQ.
BERNARD HALLDORFER, ESQ., CHAIRMAN

W. LYON
JACK FRIEDMAN
JACK FRIEDMAN, ESQ.

Richard L. Halldorfer, Esq.
SIGNATURE
W. Lyon
SIGNATURE
Jack Friedman
SIGNATURE

CITY _____ STATE _____ DATE: _____

* Additional pages may be attached.
** (Dissents)