

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

David B. Peterson

Claimant(s)

vs.

Wall Clearing Co.,

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
89-01060

CASE SUMMARY

Claimant(s) David B. Peterson alleged that Respondent(s) Wall Street Clearing Co., executed on unauthorized transaction in Claimants' account.

RELIEF REQUESTED

Claimant(s) David B. Peterson requested damages of that the debit amount of Five Hundred Dollars and Fifteen Cents -(\$515.00) be moved from Claimants' account. Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Ronee C. McLaughlin, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on March 29, 1989 and by Respondent(s) on August 17, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the claim of the Claimant shall be and hereby is dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be retained by the NASD, Inc. as costs of this proceeding.

October 19, 1989