

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Tammy S. Blakey

vs.

Freeman Welwood & Co.

Claimant(s)

Respondent(s)

NOTICE OF ARBITRATION
AWARD
89-01097

CASE SUMMARY

Claimant(s) Tammy S. Blakey alleged that Respondent(s) Freeman Welwood & Co. misrepresented facts. Respondent(s) denies all allegations.

RELIEF REQUESTED

Claimant(s) Tammy S. Blakey requested damages of Four Thousand Eight Hundred Twelve Dollars and No Cents (\$4,812.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, George R. Freund, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on April 6, 1989 and not by the Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant shall be and hereby is dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be retained by the NASD, Inc. as costs of this proceeding.

August 23, 1989