

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Robert M. Cooper

Claimant(s)

vs.

Fidelity Investments

Respondent(s)

NOTICE OF ARBITRATION
AWARD
89-01109

CASE SUMMARY

Claimant(s) Robert M. Cooper alleged that Respondent(s) Fidelity Investments failed to execute trade orders on a timely basis. Respondent(s) denies Claimant's allegations.

RELIEF REQUESTED

Claimant(s) Robert M. Cooper requested damages of Nine Thousand Eight Hundred Eighty One Dollars and Twenty-Five Cents (\$9,881.25). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Thomas B. Corey, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on May 8, 1989 and by Respondent(s) on July 13, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The claim against the Respondent shall be dismissed in its entirety.

The parties shall each bear their respective costs including attorney's fees.

The \$200.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

October 23, 1989