

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Sylvia H. Foster

vs.

Amerimutual Corp.

Claimant(s)

Respondent(s)

NOTICE OF ARBITRATION

AWARD

89-01403

CASE SUMMARY

Claimant(s) Sylvia H. Foster alleged that Respondent(s) Amerimutual Corp. made misrepresentations. Respondent(s) did not respond.

RELIEF REQUESTED

Claimant(s) Sylvia H. Foster requested damages of Two Thousand Dollars and No Cents (\$2,000.00).

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Nelson P. Lovins, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on April 19, 1989 and not by the Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

October 2, 1989