

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Randall E. Butcher

vs.

Claimant(s)

Monmouth Investments  
and Neva Elliott

Respondent(s)

NOTICE OF ARBITRATION  
AWARD  
89-01412

CASE SUMMARY

Claimant(s) Randall E. Butcher alleged that Respondent(s) Monmouth Investments and Neva Elliott failed to execute an order. Respondent(s) did not defend.

RELIEF REQUESTED

Claimant(s) Randall E. Butcher requested damages of Two Thousand Two Hundred Fifty Dollars and No Cents (\$2,250.00).

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Prudence W. Baldwin, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on May 8, 1989 and not by the Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure. The Arbitrator, having considered the proofs of the parties, has determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and will pay to the Claimant the sum of Five Hundred Ninety Three Dollars and No Cents (\$593.00).

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be refunded to the Claimant by the Respondent.

- August 23, 1989