

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Kevin A. Craig

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

Respondent(s)

NOTICE OF ARBITRATION
AWARD
89-01514

CASE SUMMARY

Claimant(s) Kevin A. Craig alleged that Respondent(s) Blinder, Robinson & Co., Inc. through its former registered representative Mario Petri, purchased certain securities for Claimant's account without Claimant's consent and subsequently failed to refund the monies used to purchase such securities.

RELIEF REQUESTED

Claimant(s) Kevin A. Craig requested damages of One Thousand One Hundred Thirty Dollars and No Cents (\$1,130.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Robert L. Agosto, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on May 5, 1989 and by Respondent(s) on July 24, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent is liable and will pay the sum of One Thousand One Hundred Thirty Dollars (\$1,130.00) to Claimant.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) is assessed against the Respondent and shall be refunded to the Claimant by Respondent.

October 4, 1989