

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Robert I. Murphy

Claimant(s)

vs.

**Fidelity Brokerage Services, Inc.
and National Financial
Services Corp.**

Respondent(s)

**NOTICE OF ARBITRATION
AWARD
89-01911**

CASE SUMMARY

Claimant(s) Robert I. Murphy alleged that Respondent(s) Fidelity Brokerage Services, Inc. and National Financial Services Corp. mishandled the transfer of Claimant's funds. Respondent(s) maintain that they handled the transfer efficiently under the circumstances.

RELIEF REQUESTED

Claimant(s) Robert I. Murphy requested damages of Two Thousand Five Hundred Dollars and No Cents (\$2,500.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Ronee C. McLaughlin, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on July 20, 1989 and by Respondent(s) on August 15, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the claim of the Claimant against Respondents shall be and hereby is dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be refunded to the Claimant by Fidelity Brokerage Services, Inc..

October 23, 1989