

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

John and Rita Musial

vs.

Edward D. Jones & Co.

Claimant(s)

Respondent(s)

NOTICE OF ARBITRATION
AWARD
89-02034

CASE SUMMARY

Claimant(s) John and Rita Musial alleged that Respondent(s) Edward D. Jones & Co. failed to execute a trade. Respondent(s) maintain that was aware that a sell/stop order was not available on NASDAQ stock.

RELIEF REQUESTED

Claimant(s) John and Rita Musial requested damages of Eight Hundred Dollars and No Cents (\$800.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Ronee C. McLaughlin, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on June 27, 1989 and by Respondent(s) on September 22, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent is liable and will pay to the Claimant the sum of Eight Hundred Dollars and No Cents (\$800.00).

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be refunded to the Claimant by the Respondent.

November 10, 1989