

NATIONAL ASSOCIATION OF SECURITIES DEALERS

1. Respondent Peter Gattini is liable and shall pay to the Claimant Joseph Barberio the sum of Five Thousand Three Hundred Seventeen Dollars and Fifty Cents (\$5,317.50).
2. The parties shall each bear their respective costs including attorney fees.
3. Pursuant to Section 43 of the Code of Arbitration Procedure, the National Association of Securities Dealers, Inc. The \$200.00 filing fee previously waived by the Director of Arbitration shall remain waived.

CONCURRING ARBITRATOR

Richard J. Lehner