

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Roy A. and
Consuelo M. Huffman

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

Respondent(s)

**NOTICE OF
ARBITRATION
AWARD
89-02177**

CASE SUMMARY

Claimant(s) Roy A. and Consuelo M. Huffman alleged that Respondent(s) Blinder, Robinson & Co., Inc. committed unauthorized trading in Claimants' account held with Respondent. Respondent denies Claimants' allegations.

RELIEF REQUESTED

Claimant(s) Roy A. and Consuelo M. Huffman requested damages of One Thousand Three Hundred Ten Dollars and No Cents (\$1,310.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Scott Sullivan Wallner, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on July 25, 1989 and by Respondent(s) on October 2, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent is liable to the Claimant in the total amount of Six Hundred Forty Dollars and No Cents (\$640.00).

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

January 12, 1990