

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

**Bateman Eichler,
Hill Richards, Inc.**

Claimant(s)

vs.

Morris Abe Santop

Respondent(s)

**NOTICE OF ARBITRATION
AWARD
89-02248**

CASE SUMMARY

Claimant(s) Bateman Eichler, Hill Richards, Inc. alleged that Respondent(s) Morris Abe Santop has not paid the debit balance owed to Claimant. Respondent(s) has not responded to the claim.

RELIEF REQUESTED

Claimant(s) Bateman Eichler, Hill Richards, Inc. requested damages of Six Thousand Two Hundred Twenty Four Dollars and Sixty-Four Cents (\$6,224.64).

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Scott Sullivan Wallner, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on July 6, 1989 and not by the Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent shall be liable to the Claimant for the amount of Six Thousand Two Hundred Twenty Four Dollars and Sixty-Four Cents (\$6,224.64).

The parties shall each bear their respective costs including attorney's fees.

The \$700.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding and reimbursed to the Claimant by the Respondent.

November 9, 1989