

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

**George A. and
Cyrena M. Dobrinich**

Claimant(s)

vs.

A.G. Edwards & Sons, Inc.

Respondent(s)

**NOTICE OF
ARBITRATION
AWARD
89-02283**

CASE SUMMARY

Claimant(s) George A. and Cyrena M. Dobrinich alleged that Respondent(s) A.G. Edwards & Sons, Inc. failed to follow Claimants' instructions. Respondent(s) maintain that Claimants authorized the purchases in question and were aware of the risks involved.

RELIEF REQUESTED

Claimant(s) George A. and Cyrena M. Dobrinich requested damages of One Thousand One Hundred Eighty Eight Dollars and Ninety-Five Cents (\$1,188.95). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Mark E. Feierstein, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on July 18, 1989 and by Respondent(s) on October 11, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The claim against the Respondent is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

December 4, 1989