

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Lillian Donohoe

Claimant(s)

vs.

Amerimutual Securities Corp.

Respondent(s)

NOTICE OF
ARBITRATION
AWARD
89-02542

CASE SUMMARY

Claimant(s) Lillian Donohoe alleged that Respondent(s) Amerimutual Securities Corp. sold stock to Claimant fraudulently. Respondent(s) maintain that the company in question is a very liable business and very critical in today's social economy.

RELIEF REQUESTED

Claimant(s) Lillian Donohoe requested damages of One Thousand Dollars and No Cents (\$1,000.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, David I. Bursten, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on August 19, 1989 and by Respondent(s) on August 19, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent is liable and shall pay the sum of One Thousand Dollars and No Cents (\$1,000.00) to the Claimant.

The parties shall each bear their respective costs including attorney's fees.

The \$15.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

February 16, 1990