

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Istvan Boksay, M.D.

Claimant(s)

vs.

Robyns Capital Corp.  
and Arnold Levy

Respondent(s)

NOTICE OF  
ARBITRATION

AWARD

89-02781

CASE SUMMARY

Claimant(s) Istvan Boksay, M.D. alleged that Respondent(s) Robyns Capital Corp. and Arnold Levy misrepresented the return to be received on an investment strategy recommended by Respondents and failed to return Claimant's funds upon demand. Respondent Arnold Levy maintains that he acted properly with regard to Dr. Boksay's account and passed along Claimant's verbal complaint to the responsible party. Respondent Robyns Capital Corp. did not respond.

RELIEF REQUESTED

Claimant(s) Istvan Boksay, M.D. requested damages of Two Thousand Nine Hundred Sixty Four Dollars and Fifty Cents (\$2,964.50). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Patrick J. Halter, was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on September 15, 1989 and by Respondent(s) on June 29, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the claim of the Claimant is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

Notice of Arbitration Award

Case No. 89-02781

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The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

August 8, 1990

Note: Fill out only those sections which apply to this particular  
Case: Case Number 89-02781:

- 1) Case Dismissed X
- 2) Find for the Claimant  
(a) total amount of the award: \$ \_\_\_\_\_  
(b) if two or more respondents are liable: yes \_\_\_\_\_ no \_\_\_\_\_  
    (1) joint and severally liable: yes \_\_\_\_\_ no \_\_\_\_\_  
    (2) if respondents separately liable  
        list party and the amounts: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- 3) Counterclaim of Respondent  
(a) total amount of award: \$ \_\_\_\_\_  
(b) party to receive award: \_\_\_\_\_  
(c) counterclaim dismissed: \_\_\_\_\_
- 4) Punitive Damages AUG 7 1990  
(a) total amount \$ \_\_\_\_\_  
(b) name parties against whom damages are to be  
    assessed and in what amounts: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- (c) claim dismissed: \_\_\_\_\_
- 5) NASD Fee X  
(a) assessed against Claimant X  
(b) assessed against Respondent \_\_\_\_\_
- 6) Use this space to expand or clarify any part of the  
decision above or to indicate other forms of specific  
relief.

Affirmation

STATE OF VIRGINIA  
CITY OF ALEXANDRIA  
COUNTY OF

} SS:  
}

I, Patrick J. Halter, do hereby affirm upon my oath  
as arbitrator that I am the individual described in and who  
executed this instrument, which is my oath and award.

August 3 1990  
(DATE)

Patrick J. Halter  
(SIGNATURE OF ARBITRATOR)