

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between
Ronald A. Larson

Claimant(s)

vs.

Allied Capital Group, Inc.

vs.

John DeMeo

Respondent(s)

NOTICE OF
ARBITRATION
AWARD
89-02932

CASE SUMMARY

Claimant(s) Ronald A. Larson alleged that Respondent(s) Allied Capital Group, Inc. committed an unauthorized transaction with respect to Claimant's account. Respondent(s) Allied Capital Group denied Claimant's allegations. Additionally, Allied Capital Group filed a third party claim against John DeMeo for any liabilities arising out of this proceeding.

RELIEF REQUESTED

Claimant(s) Ronald A. Larson requested damages of Eight Thousand Five Hundred Fifty Seven Dollars and No Cents (\$8,557.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Perry E. Wallace, Jr. Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on September 12, 1989 and by Respondent(s) on November 30, 1989 and February 16, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the claim of the Claimant is hereby dismissed in all respects, and;

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- That the third party claim of Allied Capital Group against John DeMeo is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$200 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

May 21, 1990