

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between  
Florence Smilovitz

Claimant(s)

vs.

Murphey Favre, Inc.

Respondent(s)

NOTICE OF  
ARBITRATION  
AWARD  
89-03058

CASE SUMMARY

Claimant(s) Florence Smilovitz alleged that Respondent(s) Murphey Favre, Inc. did not execute a sell order on a timely basis. Respondent denies Claimant's allegations.

RELIEF REQUESTED

Claimant(s) Florence Smilovitz requested damages of One Thousand Two Hundred Nineteen Dollars and No Cents (\$1,219.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Thomas B. Corey, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on October 23, 1989 and by Respondent(s) on December 27, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

the claim against the Respondent shall be dismissed in its entirety.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

March 6, 1990