

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Arthur J. Gaio

Claimant(s)

vs.

Easter Kramer Group Securities, Inc.
and Angelo DaBiero

Respondent(s)

NOTICE OF
ARBITRATION
AWARD
89-03076

CASE SUMMARY

Claimant(s) Arthur J. Gaio alleged that Respondent(s) Easter Kramer Group Securities, Inc. and Angelo DaBiero made misrepresentations. Respondent(s) did not respond.

RELIEF REQUESTED

Claimant(s) Arthur J. Gaio requested damages of Two Thousand Five Hundred Fifty Two Dollars and No Cents (\$2,552.00).

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Roneé C. McLaughlin, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 31, 1989 and not by the Respondent as required by Section 12(a) of the NASD, Inc. Code of Arbitration Procedure;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondents are jointly and severally liable and will pay to the Claimant the sum of Two Thousand Five Hundred Fifty Two Dollars and No Cents (\$2,552.00).

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be refunded to the Claimant by the Respondent Easter Kramer Group Securities, Inc.

February 12, 1990