

**NATIONAL ASSOCIATION OF SECURITIES DEALERS**

**In the Matter of the Arbitration Between**

**Maurice H. King, Jr.**

**Claimant(s)**

**vs.**

**F.N. Wolf & Co., Inc.**

**Respondent(s)**

**NOTICE OF  
ARBITRATION  
AWARD**

**89-03120**

**CASE SUMMARY**

Claimant(s) Maurice H. King, Jr. alleged that Respondent(s) F.N. Wolf & Co., Inc. engaged in misrepresentation. Respondent(s) maintain that there was no wrongdoing by F.N. Wolf & Co., Inc.

**RELIEF REQUESTED**

Claimant(s) Maurice H. King, Jr. requested damages of Nine Hundred Fourteen Dollars and No Cents (\$914.00). Respondent(s) requested dismissal of claim and costs.

**AWARD**

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, David I. Bursten, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on October 31, 1989 and by Respondent(s) on January 3, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The Respondent, F.N. Wolf & Co., Inc. is liable and shall pay the sum of Nine Hundred Thirteen Dollars and Fifty Cents (\$913.50) to Claimant.

The parties shall each bear their respective costs including attorney's fees.

The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

April 9, 1990