

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Gabor Dobai

Claimant(s)

vs.

Quick & Reilly, Inc.

Respondent(s)

NOTICE OF  
ARBITRATION  
AWARD

89-03199

CASE SUMMARY

Claimant(s) Gabor Dobai alleged that Respondent(s) Quick & Reilly, Inc. failed to properly execute Claimant's sell orders. Respondent maintains that the orders were entered as they were received at good prices on the prevailing market.

RELIEF REQUESTED

Claimant(s) Gabor Dobai requested actual damages of Six Hundred Ninety Eight Dollars and Twenty Five Cents (\$698.25) and punitive damages of One Thousand Eight Hundred Dollars and No Cents (\$1,800.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Roneé C. McLaughlin, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 7, 1989 and by Respondent(s) on December 29, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and will pay to the Claimant the sum of Six Hundred Ninety Eight Dollars and No Cents (\$698.00), and;

That Claimant's claim for punitive damages is dismissed.

The parties shall each bear their respective costs including attorney's fees.

Notice of Arbitration Award  
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The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) will be refunded to the Claimant by the Respondents.

March 6, 1990