

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between
Martin and Shirley Manson

Claimant(s)

vs.

Prudential-Bache Securities, Inc.
and Mark Wuensch

Respondent(s)

NOTICE OF
ARBITRATION
AWARD

89-03259

CASE SUMMARY

Claimant(s) Martin and Shirley Manson alleged that Respondent(s) Prudential-Bache Securities and Mark Wuensch made unauthorized trades and misrepresentations. Respondent(s) maintain that the Claimants have no legitimate claim upon which relief can be granted.

RELIEF REQUESTED

Claimant(s) Martin and Shirley Manson requested damages of Ten Thousand Dollars and No Cents (\$10,000.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, David I. Bursten, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 7, 1989 and by Respondent(s) on January 19, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondents(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

The claim of the Claimants is hereby dismissed in all respects.

The parties shall each bear their respective costs including attorney's fees.

The \$200.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be retained by the NASD as costs of this proceeding.

March 6, 1990