

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

William G. and
Joan Shannon JTWROS

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

Respondent(s)

NOTICE OF
ARBITRATION
AWARD
89-03325

CASE SUMMARY

Claimant(s) William G. and Joan Shannon JTWROS alleged that Respondent(s) Blinder, Robinson & Co., Inc. misrepresented material facts as to Claimants' investment. Respondent denies Claimants' allegations.

RELIEF REQUESTED

Claimant(s) William G. and Joan Shannon JTWROS requested damages of Three Thousand Ten Dollars and No Cents (\$3,010.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Scott Sullivan Wallner, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 17, 1989 and by Respondent(s) on January 30, 1989, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

That the Respondent is liable and shall pay to the Claimant the sum of Two Thousand Dollars and No Cents (\$2,000.00).

The parties shall each bear their respective costs including attorney's fees.

The \$100.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

April 23, 1990