

NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.

In the Matter of the Arbitration Between	x
	x
Maria Panaro	x
Claimant	x
	x CASE NO.
vs.	x 89-03376
	x
Dominick & Dominick, Inc.	x ORDER
Respondent	x
	x

On March 5, 1991, the undersigned arbitrators convened to hear the controversy between the parties as set forth in submissions to arbitration signed by the Claimant Maria Panaro on November 30, 1989, and by Respondent Dominick & Dominick, Inc. on February 13, 1990. The initial claim was filed on March 16, 1989. The hearing was conducted at the offices of the National Association of Securities Dealers, Inc., in New York City, NY and consisted of one (1) session. The arbitrators, having considered the pleadings, and the evidence presented at the hearing have determined in full and final resolution of the issues submitted for determination as follows:


1. Pursuant to Sections 21 and 26 of the NASD's Code of Arbitration Procedure, the Claimant Maria Panaro was served with notice of the time, date and location of the hearing, as well as with the names of the presiding arbitrators and applicable hearing procedures. The Claimant did not appear at the hearing nor did she request an adjournment of the hearing.
2. Pursuant to Section 29 of the Code of Arbitration Procedure, the arbitrators determined to proceed with the arbitration of the controversy.
3. Pursuant to a Motion to Dismiss made by the Respondent, the arbitrators dismissed all claims against Respondent Dominick & Dominick, Inc. with prejudice. In addition, at the hearing Respondent Dominick & Dominick, Inc. withdrew with prejudice all counterclaims against the Claimant Maria Panaro.
4. The parties each shall bear their respective costs including attorneys' fees.
5. Pursuant to Section 43 of the Code of Arbitration Procedure, the NASD shall retain the \$400.00 filing fee previously deposited by the Claimant as the costs of the one (1) hearing session conducted in this matter.

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CONCURRING ARBITRATORS


Deborah Sherman


Raymond Mirrer


Lawrence J. Bochot

Dated: Apr. 15, 1991