

NATIONAL ASSOCIATION OF SECURITIES DEALERS

In the Matter of the Arbitration Between

Gregory LeCerff

Claimant(s)

vs.

Blinder, Robinson & Co., Inc.

Respondent(s)

NOTICE OF  
ARBITRATION

AWARD

89-03396

CASE SUMMARY

Claimant(s) Gregory LeCerff alleged that Respondent(s) Blinder, Robinson & Co., Inc. misrepresented material facts as to Claimant's investment with Respondent. Respondent denies Claimant's allegations.

RELIEF REQUESTED

Claimant(s) Gregory LeCerff requested damages of Two Thousand Six Hundred Dollars and No Cents (\$2,600.00). Respondent(s) requested dismissal of claim and costs.

AWARD

Pursuant to Section 13 of the NASD, Inc. Code of Arbitration Procedure ("Simplified Arbitration") a single arbitrator, Scott Sullivan Wallner, Esq., was selected to review and determine the matter in controversy between the parties set forth in submissions to Arbitration signed by the Claimant(s) on November 22, 1989 and by Respondent(s) on February 13, 1990, respectively;

And, that the Arbitrator, having considered the proofs of the Parties, including any additional documentary submissions of the Claimant(s) and the Respondent(s) has decided and determined in full and final resolution of the issue submitted for determination as follows:

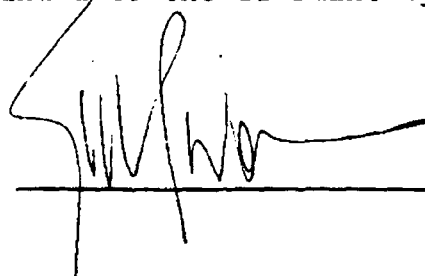
That the Respondent is liable and shall pay to the Claimant the sum of One Hundred Dollars and No Cents (\$100.00).

The parties shall each bear their respective costs including attorney's fees.

Notice of Arbitration Award  
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The \$25.00 filing fee previously deposited with the NASD, Inc. by the Claimant(s) shall be refunded to the Claimant by the Respondent.

July 5, 1990



Affirmation

STATE OF

Washington D.C. } SS:  
}

COUNTY OF

I, SCOTT SULLIVAN WARNER, do hereby affirm upon my oath as arbitrator that I am the individual described in and who executed this instrument, which is my oath and award.

7/12/90

(DATE)

(SIGNATURE OF ARBITRATOR)