

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN  
SMALL CLAIM DISPUTE

CASE: MARK IMBROGNO v. SHEARSON LEHMAN HUTTON INC. and BUDDY METH

#89-11

DATE FILED: 3/13/89 FIRST SCHEDULED Sept. 25, 1989 DECIDED Sept. 25, 1989

CASE SUMMARY: \* Customer v. Member dispute. Claimant alleges member firm  
mishandled his account.

CLAIMANT'S INITIALS ME

RESPONDENT'S

THIRD PARTY'S INITIALS

SESSIONS: #1

Respondent (firm) ELL

CLAIM AND AWARD DATA:

CLAIM	<u>\$2,618.43</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>Denied</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$100.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>\$100</u>
COSTS	<u>\$100.00</u>	COSTS	<u>N/A</u>	COSTS	<u>\$100</u>

(costs split by parties)

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:\*

THAT Claimant's claim is denied;

THAT the costs of the arbitration, \$100, is to be shared equally among the  
parties. Respondent Shearson Lehman Hutton Inc. shall remit \$50 directly  
to Claimant as partial reimbursement of Claimant's original deposit of \$100.

THAT this award is in final and complete resolution of all claims and contention  
asserted by the parties in this matter.

MARKS:\*

ARBITRATORS \*\*

Michael Kieppar, Sole Arbitrator

x Michael Kieppar  
SIGNATURE

SIGNATURE

SIGNATURE

CITY \_\_\_\_\_ STATE \_\_\_\_\_ DATE: October 16, 1989

\* Additional pages may be attached.

\*\* (Dissents)