

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

Customer v. Member Small Claims

SE: W. PORTER DODSON v. INVESTORS CO., LAWRENCE F. TRAINOR, SPEAR LEEDS &
KELLOGG AND MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.

#89-13

DATE FILED: 10/20/88 FIRST SCHEDULED Hearing Waived DECIDED 10/10/89

SEE SUMMARY: *

CLAIMANT'S INITIALS N/A RESPONDENT'S N/A THIRD PARTY'S INITIALS N/A
ISSIONS: N/A

CLAIM AND AWARD DATA:

CLAIM	<u>\$9,500.00</u>	CC/3rd PTY	<u>N/A</u>	AWARD	<u>\$0.00</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$200.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>\$200.00</u>
COSTS	<u>\$200.00</u>	COSTS	<u>N/A</u>	COSTS	<u>\$200.00</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT Claimant's claim is denied;

THAT the costs of the arbitration, \$200.00, shall be paid by Claimant

W. Porter Dodson, who has already paid such amount at his initial deposit.

MARKS: * Claimant waived a hearing in this matter. Award based on pleadings submitted to a Sole Arbitrator.

ARBITRATORS **

Harold Elovich, Esq., Sole Arbitrator


SIGNATURE

SIGNATURE

SIGNATURE

CITY _____ STATE _____

DATE:

10/13/89

Additional pages may be attached.
(Dissents)