

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

FRANK MASHUDA v. PRUDENTIAL-BACHE SECURITIES INC.

# 89-14

DATE FILED: July 29, 1988 FIRST SCHEDULED August 17, 1989 DECIDED October 10, 1989

CASE SUMMARY: \* Dispute involves 2 XYZ option transactions. Claimant alleges that his option transactions were not executed and that he was not charged the correct price.

CLAIMANT'S INITIALS FM X RESPONDENT'S DS THIRD PARTY'S INITIALS \_\_\_\_\_  
SESSIONS: 1 & 2

CLAIM AND AWARD DATA:

CLAIM	\$15,000.00	CC/3rd PTY	N/A	AWARD	
PUNITIVE	N/A	PUNITIVE	N/A	PUNITIVE	
ATTY FEES	N/A	ATTY FEES	N/A	ATTY FEES	
DEPOSIT	\$400.00	DEPOSIT	N/A	DEPOSIT	
COSTS	\$400.00	COSTS	N/A	COSTS	

DECISION: The undersigned arbitrators, by a majority vote, have decided and determined in full and final settlement of all claims between the parties that: \*

WHAT Claimant is awarded \$5,500;

WHAT the costs of the arbitration, \$400.00, shall be paid by Respondent Prudential-Bache Securities Inc. Respondent shall pay \$400.00 directly to Claimant as a refund of his initial deposit.

REMARKS: \*

ARBITRATORS \*\*

Martin Siegel, Esq., Chairman

Ed Lyon

Sario Ingallinera

CITY New York STATE New York

DATE: October 26, 1989

Additional pages may be attached.

\* (Dissents)

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