

AMERICAN STOCK EXCHANGE
IN THE MATTER OF ARBITRATION BETWEEN

CASE: ANDREW SUINER V. THOMSON MCKINNON SECURITIES INC. & ARNOLD AND STANLEY LEDERMAN

89-18

DATE FILED: November 17, 1988 FIRST SCHEDULED October 26, 1989 DECIDED November 24, 1989

CASE SUMMARY: * Customer v. member dispute. Claimant alleges misrepresentation and negligence in the handling of his accounts and requests additional sums (profit) to be determined by the arbitrators. Respondents are requesting attorney fees.

CLAIMANT'S INITIALS AS RESPONDENT'S AMP THIRD PARTY'S INITIALS AL
SESSIONS:

CLAIM AND AWARD DATA:

CLAIM	<u>\$94,390.00</u>	CC/ 2nd PWK	<u>N/A</u>	AWARD	<u>Denied</u>
PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>	PUNITIVE	<u>N/A</u>
ATTY FEES	<u>N/A</u>	ATTY FEES	<u>Requested by Respondents</u>	ATTY FEES	<u>N/A</u>
DEPOSIT	<u>\$500.00</u>	DEPOSIT	<u>N/A</u>	DEPOSIT	<u>\$500.00</u>
COSTS	<u>\$1,000.00</u>	COSTS	<u>N/A</u>	COSTS	<u>\$1,000.00 to be split by the parties</u>

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:*

THAT Claimant's claim is denied;

THAT the costs of the arbitration \$1,000.00, is to be shared equally among the parties.

Respondent Thomson McKinnon Securities Inc. shall remit directly to the American Stock Exchange the sum of \$500.00. Claimant has already deposited \$500.00 as his initial deposit fee.

REMARKS: *

ARBITRATORS **

Bernard Helldorfer, Esq.

Walter Liebman

Arnold Weinberg, Esq.

CITY New York STATE New York

DATE: 12/2/89

* Additional pages may be attached.
** (Dissents)

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