

AMERICAN STOCK EXCHANGE  
IN THE MATTER OF ARBITRATION BETWEEN

Nathan Wexler v. Shearson Lehman Hutton

# 89-21

DATE FILED: 7/12/88 FIRST SCHEDULED 11/29/89 DECIDED 11/29/89

CASE SUMMARY: \* Customer v. member dispute involving bonds.

CLAIMANT'S INITIALS NW RESPONDENT'S SH THIRD PARTY'S INITIALS ---  
SESSIONS: \_\_\_\_\_

CLAIM AND AWARD DATA:

|           |             |            |          |           |                     |
|-----------|-------------|------------|----------|-----------|---------------------|
| CLAIM     | \$36,250.00 | CC/3rd PTY | N/A      | AWARD     | Denied              |
| PUNITIVE  | N/A         | PUNITIVE   | N/A      | PUNITIVE  | N/A                 |
| ATTY FEES | N/A         | ATTY FEES  | N/A      | ATTY FEES | N/A                 |
| DEPOSIT   | \$200.00    | DEPOSIT    | \$200.00 | DEPOSIT   | \$200.00            |
| COSTS     | \$200.00    | COSTS      | \$200.00 | COSTS     | \$200.00 (Claimant) |

DECISION: The undersigned arbitrators have decided and determined in full and final settlement of all claims between the parties that:\*

THAT Claimant's claim is denied;

THAT the costs of the arbitration, \$200, will be borne by Claimant,

who has already submitted \$200.00 as his initial filing fee.

REMARKS: \*

ARBITRATORS \*\*

TED M. ROSEN, ESQ., CHAIRMAN


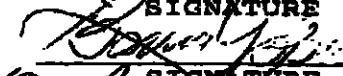
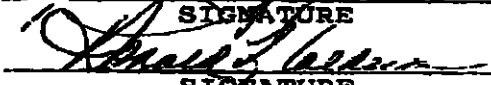
BARRY APPEL

DONALD CALDERA

CITY NEW YORK STATE NEW YORK

DATE: JANUARY 30, 1990

\* Additional pages may be attached.  
\*\* (Dissents)

  
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